



First Class Mail
May 24, 2002

****Due to the indefinite suspension of U.S. Post Office Express Mail services, and pursuant to U.S. Patent and Trademark Office ("USPTO") Instructions on 11/19/2001, this Request for Corrected Filing Receipt is being deposited for First Class Mail in an envelope addressed to: COMMISSIONER OF PATENTS, Office of Initial Patent Examination, Customer Service Center, 2900 CRYSTAL DRIVE, ARLINGTON, VA 22202-3513. IT IS FURTHER OUR UNDERSTANDING THAT THE USPTO WILL BE RESPONSIBLE FOR FORWARDING ALL OF THE ENCLOSED DOCUMENTS TO THE USPTO OFFICE LOCATED IN WASHINGTON D.C.**

RECEIVED TRANSMITTAL FORM

JUN 13 2002

TO 170

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission	Application Number	09/996,142
	Filing Date	11/28/2001
	First Named Inventor	Daniel E. Resasco et al.
	Group Art Unit	1754
	Examiner Name	Unknown
Attorney Docket Number		5820.613

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): See Remarks Below:
Remarks 1. Transmittal Form PTO/SB/21 (1 page); 2. Fee Transmittal Form PTO/SB/17 (1 page); 3. Request for Corrected Filing Receipt (2 pages); 4. Copy of official Filing Receipt with changes noted thereon (1 page); and 5. Return- Receipt Postcard		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

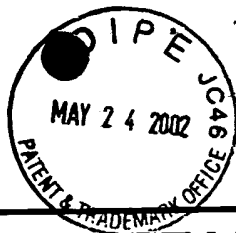
Firm or Individual name	DUNLAP, CODDING & ROGERS, P.C., Customer Number 30589 P. O. Box 16370, Oklahoma City, Oklahoma 73113, Christopher W. Corbett, Reg. No. 36,109
Signature	
Date	5/24/02

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail or U.S. Express mail no. 5820.613 in an envelope addressed to the address below on this date: 5/24/02	
Typed or printed name	Christopher W. Corbett, Reg. No. 36,109
Signature	Date 5/24/02

SEND TO: Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center
Washington, D.C. 20231

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May 24, 2002



**Due to the indefinite suspension of U.S. Post Office Express Mail services, and pursuant to U.S. Patent and Trademark Office ("USPTO") instructions on 11/19/2001, this Request for Expedited Filing Receipt is being deposited for First Class Mail in an envelope addressed to: COMMISSIONER OF PATENTS, Office of Initial Patent Examination, Customer Service Center, 2900 CRYSTAL DRIVE, ARLINGTON, VA 22202-3513. IT IS FURTHER OUR UNDERSTANDING THAT THE USPTO WILL BE RESPONSIBLE FOR FORWARDING ALL OF THE ENCLOSED DOCUMENTS TO THE USPTO OFFICE LOCATED IN WASHINGTON D.C.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 0

Complete if Known

Application Number	09/996,142
Filing Date	11/28/2001
First Named Inventor	Daniel E. Resasco et al.
Examiner Name	Unknown
Group Art Unit	1754
Attorney Docket No.	5820.613

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TC 1700

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 04-1700
Deposit Account Name: Dunlap, Codding & Rogers, P.C.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 70	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$ 0

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	** =	X	= 0
Mult. Dependent	** =	X	= 0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0

SUBMITTED BY

Name (Print/Type) Christopher W. Corbett

Registration No. (Attorney/Agent) 36,109

Complete (if applicable)

Telephone (405) 478-5344

Signature

Date 6/24/02

Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center

SEND TO: Washington, D.C. 20231

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Receipt

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Daniel E. Resasco et al.

Serial No.: 09/996,142

Filed: November 28, 2001

PROCESS AND APPARATUS FOR PRODUCING
For: SINGLE-WALLED CARBON NANOTUBES

) Group Art Unit: 1754
)
) Examiner: Unknown
)
) Atty. Docket No.: 5820.613
)
)

Commissioner for Patents
Washington, D. C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

1. Attached is a copy of the Filing Receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:



incorrectly entered

and/or



omitted.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited on May 23²⁴, 2002 with the United States Postal Service as First Class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

Christopher W. Corbett, Ph.D. Reg. No. 36,109

Name of applicant, assignee or
registered representative

Signature

Error in

Correct Data

a. ☐ Applicant's name

a.

b. ☐ Applicant's address

b.

c. ☒ Title

c. PROCESS AND APPARATUS FOR PRODUCING
SINGLE-WALLED CARBON NANOTUBES

d. ☐ Filing Date

d.

e. ☐ Serial Number

e.

f. ☐ Foreign/PCT Application Re: f.

g. ☐ Other: g.

3.

A. The correction(s) is/are not due to any error by Applicant and no fee is due.

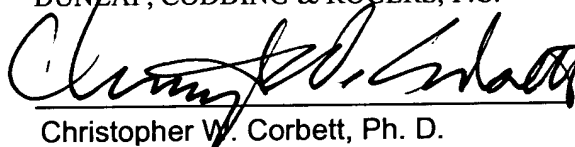
B. At least one of the above corrections is due to Applicant's error and the fee therefor, under 37 C.F.R. 1.19(h), of _____ is paid as follows:

enclosed is a check for _____

☐ charge Deposit Account No. 04-1700.

Respectfully submitted,
DUNLAP, CODDING & ROGERS, P.C.

By:



Christopher W. Corbett, Ph. D.

Reg. No.: 36,109

DUNLAP, CODDING & ROGERS, P.C.

9400 North Broadway, Suite 420

Oklahoma City, OK 73114

(405) 478-5344 - telephone

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Agent for Applicant



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/996,142	11/28/2001	1754	370	5820.613	10	19	2

CONFIRMATION NO. 3756

FILING RECEIPT



OC000000007753071

Christopher W. Corbett
Dunlap, Coddling & Rogers, P.C.
Suite 420
9400 North Broadway
Oklahoma City, OK 73114



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Date Mailed: 04/01/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Daniel E. Resasco, Norman, OK;
Boonyarach Kitiyanan, Pantunwan, THAILAND;
Walter E. Alvarez, Norman, OK;
Leandro Balzano, Norman, OK;

DUNLAP, CODDING
& ROGERS, P.C. *wd*

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/587,257 06/02/2000
AND CLAIMS BENEFIT OF 60/253,877 11/29/2000

APR 02 2002

Foreign Applications

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Producing
Process and apparatus for *[producing]* single-walled carbon nanotubes



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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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Bib Data Sheet

FILE COPY

CONFIRMATION NO. 3756

SERIAL NUMBER 09/996,142	FILING DATE 11/28/2001 RULE	CLASS 423	GROUP ART UNIT 1754	ATTORNEY DOCKET NO. 5820.613
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APPLICANTS

Daniel E. Resasco, Norman, OK;
Boonyarach Kitiyanan, Pantunwan, THAILAND;
Walter E. Alvarez, Norman, OK;
Leandro Balzano, Norman, OK;

**** CONTINUING DATA *******

THIS APPLICATION IS A CIP OF 09/587,257 06/02/2000
AND CLAIMS BENEFIT OF 60/253,877 11/29/2000

**** FOREIGN APPLICATIONS *********** SMALL ENTITY ****

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY OK	SHEETS DRAWING 10	TOTAL CLAIMS 19	INDEPENDENT CLAIMS 2
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature _____ Initials _____				

ADDRESS

Christopher W. Corbett
Dunlap, Coddling & Rogers, P.C.
Suite 420
9400 North Broadway
Oklahoma City, OK 73114

TITLE

Process and apparatus for producing single-walled carbon nanotubes

FILING FEE RECEIVED 370	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees (Filing)
		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
		<input type="checkbox"/> 1.18 Fees (Issue)
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit